

## **Report of BSO Risk Workshop**

**25 February 2016**

**Present:**

Mr Alexander Coleman (Chairman)  
Mrs Geraldine Fahy  
Mr Alan Hanna  
Mr Robin McClelland  
Mr Brian McMurray  
Mr Gerald Strong  
Mr Greg Irwin  
Mr David Bingham (Chief Executive)  
Mr Hugh McPoland (Director of HR &CS)  
Mr Sam Waide (Director of Operations)  
Ms Andrea Henderson (Acting Director of Finance)

**In attendance:**

Mrs Karen Bailey (Director of Customer Care and Performance)  
Mr Alphy Maginness (Chief Legal Adviser)  
Mr Greg McCloskey (Head of Shared Services)  
Dr Glynis Henry CBE (Head of Clinical Education Centre)  
Mrs Diane Taylor (Head of Leadership Centre)  
Mrs Rita Marsden (Assistant Director Customer Care & Performance)  
Mrs Patricia Maginnis (Governance and Risk Officer)

### **Welcome and opening remarks**

The chairman welcomed attendees to the annual Board Risk Workshop. The structure and content of the workshop was based on the results from a questionnaire completed by Board members in November 2015. The objective of the workshop was to look to the future with consideration of the 2016/17 business plan and identification of risks to meeting the corporate objectives. In addition, the workshop would also look at the corporate risk appetite given the changing environment.

### **What are the risks to achieving our 2015-18 objectives?**

Members debated risks to the organisation around restructuring, a potentially changing Programme for Government and the continuous change model of the organisation. There was discussion around the ability of the organisation to deal with change and the need for the organisation to align growth with capacity and capability.

### **What are the risks to achieving our priorities for 2016/17?**

Members discussed the need for a risk relating to the robustness of data to support the business intelligence priority.

### **What is the current risk appetite given the changing environment?**

There was considerable discussion regarding the risk appetite of the organisation. There was a general consensus that in some areas the corporate appetite for risk is higher, in order to meet our growth objectives. However risk appetite remains low in areas such as financial, legal and regulatory. The risk appetite statement included in the Risk Management Strategy will be amended to reflect this.

### **Specific amendments to the risk register**

#### Addition of the following risks was agreed:

A new risk is to be added around Social care Procurement (as a replacement to Risk number 10). It was agreed that further information was required by the Board in order to ascertain the most appropriate type of risk. The Project Initiation Document will be submitted to the next Business Committee meeting.

#### Amendments to the following risks were agreed:

Risk number 15 – *Delays in payroll responding to pension queries may result in fines from the Pensions Regulator. There is also a wider reputational risk associated with delays in the ability to provide estimates.*

Amend scoring to reflect reduced likelihood (from 3 to 2).

Risk number 17 – *Weakness in contingency plans for failure of payments system leads to further failures.*

Following discussion, it was agreed that a new action will be added to this risk relating to the testing of the new contingency plan.

#### Removal of the following risks was agreed:

Risk number 4 - *BSO will face the risk of legal challenge and/or financial loss under new legislation, the Late Payment of Commercial Debt Regulation 2013, which required 30 day payment of supplier invoices*

Risk number 8 - *There is a risk that the NIHSC Interpreting Service will fail to meet its objectives due to an inadequate system of governance, risk management and controls.*

Risk number 10 - *There is a risk that BSO reputation and customer satisfaction due to the limited resource available to support Trusts in undertaking Social Care procurements*

Risk number 16 – *If the HRPTS system update fails then i) payroll may become unstable ii) workforce information/data may be corrupted iii) planned developments may be delayed iv) there is a risk of significant reputational damage."*